



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/902,170	07/11/2001	Masahiko Ando	H6810.0011/P011	8805
24998	7590	03/16/2005	EXAMINER	
DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP			NGUYEN, KHIEM D	
2101 L Street, NW			ART UNIT	
Washington, DC 20037			PAPER NUMBER	
			2823	

DATE MAILED: 03/16/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/902,170

Applicant(s)

ANDO ET AL.

Examiner

Khiem D. Nguyen

Art Unit

2823

RM

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 22 December 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-9,11-21,23-32,34,50-58,60-70,72-81 and 83 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-9,11-21,23-32,34,50-58,60-70,72-81 and 83 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 16 April 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

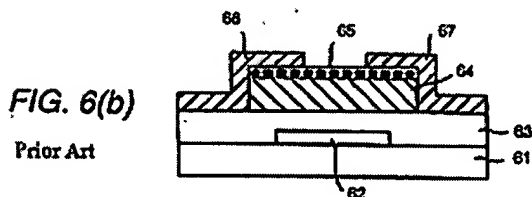
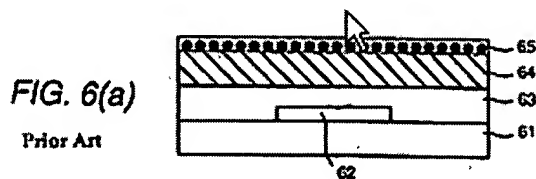
**DETAILED ACTION*****Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

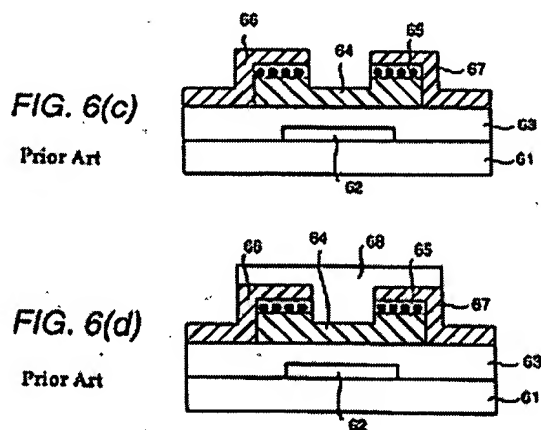
(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. Claims 1-8, 13-20, 24-31, 50-57, 62-69, and 74-80 are rejected under 35 U.S.C. 103(a) as being unpatentable over the applicant's admitted prior art of this application (AAPA) in view of Tsujimura et al. (U.S. Patent 6,391,691).

In re claims 1, 3, 5, 13, 24, and 50, AAPA discloses a method of fabricating a thin film transistor comprising the steps of (See Discussion of the Related Art on page 1-2 of this application and FIGS. 6(a)-(d)): providing a gate **62** over a substrate **61**; providing a gate insulating layer **63** over the gate and substrate; providing an amorphous silicon layer **64** having a first resistance over the gate insulating layer; providing an impurity on the surface of the amorphous silicon layer (FIGS. 6(a)-(b));



forming a drain electrode 66 and source electrode 67 separated by a channel region over a contact portion with the amorphous silicon layer; and subsequently, removing the impurity from the channel region (FIG. 6 (c)) to form a contact layer 65 within the amorphous silicon layer wherein the contact layer has a second resistance lower than the first resistance (page 2, lines 3-10).

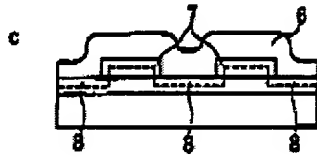


AAPA does not explicitly disclose diffusing the impurity into the contact portion to form the contact layer within the amorphous silicon layer.

Tsujimura, however, discloses a method of fabricating a thin film transistor comprising the steps (col. 3, line 46 to col. 4, line 19 and FIGS. 1-2): providing a gate 12 over a substrate 1; providing a gate insulating layer 10 over the gate and substrate; providing an amorphous silicon layer 9 having a high resistance over the gate insulating layer; providing an impurity 7 and 8 on the surface of the amorphous silicon layer; forming a drain electrode 5 and source electrode 4 separated by a channel region over a contact portion with the amorphous silicon layer; and removing the impurity 8 from the channel region (col. 3, line 62 to col. 4, line 4 and FIG. 1(c)) and diffusing the impurity

Art Unit: 2823

into the contact portion (col. 4, lines 6-19) to form a contact layer 11 within the amorphous silicon layer.



Therefore, It would have been obvious to one of ordinary skill in the art of making semiconductor devices to combine the teaching of AAPA and Tsujimura to enable the process of diffusing the impurity into the contact portion to form a contact layer within the amorphous silicon layer of AAPA to be performed and furthermore to achieve a good ohmic contact between source and drain electrodes and a semiconductor layer made of, for example, amorphous silicon in fabricating a thin film transistor (col. 1, lines 14-17, Tsujimura).

In re claims 2, 14, 25, 51, 63, and 74, Tsujimura discloses wherein the contact layer contains a concentration of the impurity of at least 0.1% (col.4, lines 6-19).

In re claims 3, 15, 26, 52, 64, and 75, Tsujimura discloses wherein removing of impurity from the channel region is performed by exposure to hydrogen plasma (col. 3, line 58 to col. 4, line 4).

In re claims 4, 6, 8, 16, 18, 20, 27, 29, 31, 53, 55, 57, 65, 67, 69, 76, 78, and 80, Tsujimura discloses wherein the exposure is conducted for a time duration using a plasma chemical vapor deposition apparatus. There is no evidence indicating the hydrogen plasma exposure time duration, the heat annealing temperature and time duration, and the thickness of the amorphous silicon layer is critical and it has been held

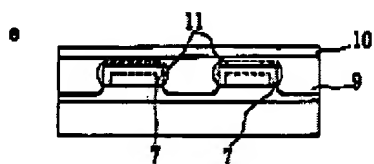
Art Unit: 2823

that it is not inventive to discover the optimum or workable temperature, time duration, and thickness of a result-effective variable within given prior art conditions by routine experimentation. See MPEP § 2144.05. Note that the specification contains no disclosure of either the critical nature of the claimed dimensions of any unexpected results arising there from. Where patentability is aid to be based upon particular chosen dimensions or upon another variable recited in a claim, the Applicant must show that the chosen dimensions are critical. In re Woodruff, 919 F.2d 1575, 1578, 16 USPQ2d 1934, 1936 (Fed. Cir. 1990).

In re claims 5, 17, 28, 54, 66, and 77, Tsujiimura discloses wherein the diffusion of the impurity into the contact portion is performing by heat annealing (col. 4, lines 4-19).

In re claims 7, 19, 30, 56, 68, and 79, Tsujiimura discloses wherein the impurity is phosphorus (col. 3, line 58 to col. 4, line 4).

In re claim 13, Tsujiimura discloses wherein the amorphous silicon layer 9 does not contain the impurity (col. 4, lines 6-19 and FIG. 1(e)).

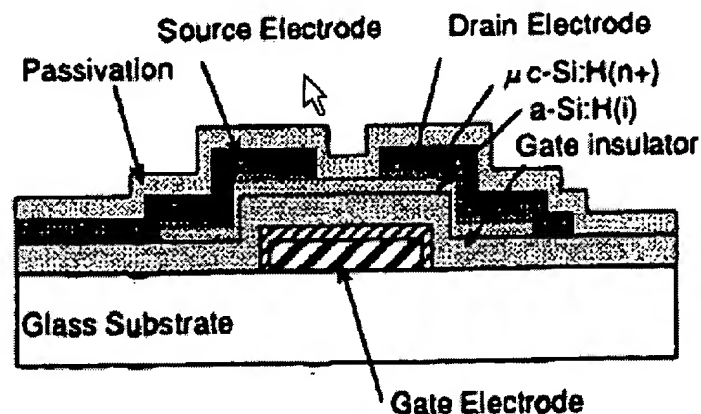


In re claims 24, 62, and 73, Tsujiimura discloses wherein essentially none of the impurity is diffused into the contact portion prior to removing step (col. 3, line 46 to col. 4, line 19).

In re claims 50, 62, and 73, Tsujimura discloses a method of fabricating a liquid crystal display (LCD) comprising the steps of providing a plurality of thin film transistors arranged on a LCD substrate in a matrix form (col. 4, lines 6-57).

2. Claims 9, 11-13, 21, 23, 24, 32, 34, 58, 60-62, 70, 72, 73, 81, and 83 are rejected under 35 U.S.C. 103(a) as being unpatentable over the applicant's admitted prior art of this application (AAPA) in view of Tsujimura et al. (U.S. Patent 6,391,691) as applied to claims 1-8, 13-20, 24-31, 50-57, 62-69, and 74-80 above, and further in view of Washizuka et al. (IDW 1997 pp. 207-210).

In re claims 9, 11, 21, 32, 58, 62, 70, 73, and 81, Washizuka discloses wherein the diffusing step is performed simultaneously with an annealing step for a capping layer provided over the electrode and the channel region and wherein etching the amorphous silicon layer utilizing a common photoresist to form the electrodes (page 208 and FIGS. 2-3).



**Figure 2 Cross sectional view of the inverted staggered a-Si TFT of back channel etching type used in this study**

It would have been obvious to one of ordinary skill in the art of making semiconductor devices to combine the teaching of AAPA, Tsujimura, and Washizuka to achieve high image quality of TFT-LCDs (page 207, Washizuka).

In re claims 12, 23, 34, 61, 72, and 83, Washizuka discloses wherein the steps are entirely conducted by using an etching apparatus and a protection film forming apparatus while connected in a vacuum state (page 208 and FIGS. 2-3).

In re claims 13, 24, and 60, Washizuka discloses wherein etching the silicon layer utilizing a common photoresist to form a drain electrode and a source electrode separated by a channel region over a contact portion with amorphous silicon layer (page 208 and FIGS. 2-3).

***Response to Applicants' Arguments and Amendment***

Applicant's arguments filed December 22<sup>nd</sup>, 2004 have been fully considered but they are not persuasive.

Applicants contend that the AAPA process does not include the step of "subsequently ... diffusing...impurity into contact portion to form a contact layer within amorphous silicon layer".

In response to Applicants' contention that AAPA process does not include the step of "subsequently ... diffusing...impurity into contact portion to form a contact layer within amorphous silicon layer", Examiner respectfully disagrees. AAPA is being used as a primary reference to teach removing the impurity 65 from the channel region subsequent to forming a drain electrode 66 and a source electrode 67 separated by a channel region over a contact portion with the amorphous silicon layer (FIGS. 6(a)-(d)).



Examiner agrees that AAPA does not explicitly teach or suggest diffusing the impurity into the contact portion to form a contact layer within the amorphous silicon layer. However, the secondary reference, Tsujimura (U.S. Patent 6,391,691) clearly suggests providing an impurity 7 and 8 on the surface of the amorphous silicon layer 9; removing the impurity 8 from the channel region (col. 3, line 62 to col. 4, line 4 and FIG. 1(c)) and diffusing the impurity into the contact portion (col. 4, lines 6-19) to form a contact layer 11 within the amorphous silicon layer.

For these reasons, examiner holds the rejection proper.

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Khiem D. Nguyen whose telephone number is (571) 272-1865. The examiner can normally be reached on Monday-Friday (8:00 AM - 5:00 PM).

Art Unit: 2823

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Olik Chaudhuri can be reached on (571) 272-1855. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

K.N.  
March 07<sup>th</sup>, 2005



**W. DAVID COLEMAN**  
**PRIMARY EXAMINER**